EQUINE DRUG PROGRAM PROCESS APRIL 2018



Step |

EQUINE

DRUG

TESTING

AGCO Officials call selected horses for testing



AGCO receives Certificate of Positive Analysis (CPA) and/or Certificate of TCO₂ Analysis



AGCO Officials:

- Notify the licensee of positive finding
- Take appropriate action towards the horse
- Determine if intermediate action towards the trainer and owner is necessary

Inspection activities begin as required



Details of violation and action taken towards the horse included in the next Race Report and posted on AGCO website

INFORMATION **GATHERING**

Head Office Case Coordinator

Licensee provided an opportunity

to submit applicable information

AGCO inspection underway

manages the case

DECISION MAKING

Step

RULING

CONCLUSION AND PUBLICATION

Stream 1

MANAGED AT AGCO HEAD **OFFICE**

FIRST VIOLATION CLASS I. II & TCO.

(and Second Violations All Classes / Multiple Violations)

AGCO Decision-Maker reviews all information and consults with experts as needed

Decision-Maker decides on ruling, and may offer a settlement when appropriate

Ruling issued by Head Office

Licensee may file Notice of Appeal (NOA) with the Horse Racing Appeal Panel (HRAP) within 15 days

POTENTIAL Step

HRAP MANAGES APPEAL

Stream 2

MANAGED AT RACETRACKS FIRST VIOLATION **CLASS III, IV & V**

Race Officials (2-3) at the racetrack are responsible for managing the case

Licensee provided opportunity to submit applicable information

Race Officials review all information and may:

- A. Proceed in accordance with the penalty guidelines
- B. Offer settlement If accepted licensee admits to violation and waives right to appeal to HRAP

Ruling issued by Race Officials at racetracks

Ruling takes into account if the licensee:

A. Accepts settlement – Licensee admits to violation and waives right to appeal to HRAP;

B. Rejects settlement, and may choose to file NOA with HRAP within 15 days

AGCO PUBLISHES RULING