

Renewal of Racetrack Licence

2019 Process and Required Documents

September 17, 2018 - A complete renewal application for a Licence to Operate a Racetrack includes the following documents, which must be submitted or on file with the Alcohol and Gaming Commission of Ontario (AGCO) before 5:00 p.m. on the dates indicated below:

Documents required by October 15, 2018

Race Date Application

As per the processes of OLG and OR, the application will have been submitted to OLG, OR and AGCO as a single submission.

The race date application will include:

- Draft race date calendar for 2019
- Business rationale for the draft calendar

Documents required by October 17, 2018

Application for Licence to Operate a Racetrack

A complete application includes:

- Completed [Application for Licence to Operate a Racetrack](#)
- Outstanding [Personal History Reports](#)
- Outstanding [Corporate Shareholder Disclosure](#)
- Lease (if not on file)
- Articles of Incorporation or Letter of Good Standing (if not on file)
- Ownership schematics (if not on file) (Schedule A)
- List of Officers and Directors (Schedule B)
- List of Key Persons and Key Employees* (Schedule C)

Please submit Schedules A-C as separate documents.

**Definition of a key person and key employee can be found in [Policy Directive No. 1-2010](#)*

Application Licence Fee

The [applicable licensing fee](#) must accompany the application for licence.

October 17, 2018 continued

Fire Safety and Protection Plan for the Backstretch

Must be submitted, if current plan is not on file with AGCO.

Applicants are advised that a Fire Safety and Protection Plan will not be considered a confidential document and will be made available to the public upon request.

Racetrack Security Plan

Must be submitted, if current plan is not on file with AGCO.

Racetrack Maintenance Plan

Must be submitted, if current plan is not on file with AGCO.

Applicants are advised that a Racetrack Maintenance Plan will not be considered a confidential document and will be made available to the public upon request.

Track Rules to be approved by the AGCO for the 2018 Calendar Year

Confirmation that Racetrack will be using the Rules of Racing, indication if racetrack will be using previously approved track rules on file and submission of any track rules for approval. Track Rules that comply with [Policy Directive 2-2012](#) and are approved by the AGCO must be on file with the AGCO.

Survey of Racetrack

Current certification by an engineer or land surveyor of the racetrack measurement is required. "Current" is defined as within 2 years of any physical change to the racetrack or rail/pylons must be on file with the AGCO.

Documents required by October 26, 2018

2019 Business Plans

Must be submitted if current plan is not on file with AGCO.

Audited Financial Statements and 2018 YTD Unaudited Financial Statements

Audited Statements should match the most recent financial year end. Most currently available statements are required to be on file.

Capital Improvement Plan

Must be submitted if current plan is not on file with AGCO.

Documents submitted to the AGCO are confidential and private unless otherwise specified. All documents submitted to the AGCO are subject to the *Freedom of Information and Protection of Privacy Act, R.S.O. 1990, c F.31*.

Forms and information are available on the AGCO Horse Racing website at: www.agco.ca

Filing Format

The preferred submission format for material is electronic.

All material must be submitted to the attention of:

Jessica Harvie
Licensing Coordinator
(416) 326-0863
jessica.harvie@agco.ca

Please direct any questions regarding this material to Jessica Harvie.