



**Alcohol and Gaming Commission of Ontario
Licensing and Registration**

90 Sheppard Ave. E., Suite 200 Tel: 416-326-8700
Toronto ON M2N 0A4 Toll free in Ontario: 1-800-522-2876
Fax: 416-326-8711 Website: www.agco.ca

Personal Disclosure – Liquor

To be completed by:

- Each individual associated with a Liquor-related application, including sole proprietors, each partner, all officers and directors of a corporation, all shareholders with 10% or more of any class of shares of a corporation, managers and any other individuals with a beneficial interest;
- Each individual associated with the an application for Authorization to Sell Beer and Wine or Beer and Cider in A Grocery Store.

All sections must be completed; please enter N/A where not applicable.

Applicant Information

Last Name			First Name			Middle Name					
Please list below any other names you have used or by which you are known. Note: Please indicate type of name (e.g. birth name, married name, nicknames)											
Date of birth yyyy mm dd			Sex <input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Prefer not to disclose			Place of birth			Driver's Licence Number		

Home Address

Country: <input type="checkbox"/> Canada <input type="checkbox"/> USA <input type="checkbox"/> Other					
Address					
City/Town		Province/State		Postal/Zip Code	

Title/Position

Choose all that apply: <input type="checkbox"/> Officer <input type="checkbox"/> Director <input type="checkbox"/> Principal Employee <input type="checkbox"/> General Partner <input type="checkbox"/> Partner <input type="checkbox"/> Shareholder					
% of Equity Shares		% of Voting Shares		Do you have authority to bind the corporation? <input type="checkbox"/> Yes <input type="checkbox"/> No	

Contact Information

Telephone Number ()		Alternate Phone Number ()		Fax Number ()	
Email Address			Preferred Contact Method <input type="checkbox"/> Mail <input type="checkbox"/> Fax <input type="checkbox"/> Email <input type="checkbox"/> Phone		

Business Address of Establishment

Country: <input type="checkbox"/> Canada <input type="checkbox"/> USA <input type="checkbox"/> Other		
Address		
City/Town	Province/State	Postal/Zip Code

Questions

Please answer all of the following:

1. Have you ever been found guilty of any offences in any jurisdiction? This includes any absolute and/or conditional discharges, suspended sentences, convictions, fines or guilty pleas.

Note: This includes ALL *Criminal Code of Canada* and other federal offences and ALL provincial offences.

For example:

- *Highway Traffic Act* offences: such as speeding, driving without a licence, driving without insurance
- Environmental offences: such as illegal dumping, permitting excess contamination into a natural environment
- Animal welfare offences: such as causing an animal to be in distress, training an animal to fight another animal.

No Yes ► **If YES, please provide the following information:**

Name of Offence		Jurisdiction of Offence
Year of Occurrence	Outcome (such as sentence, penalty, conviction, discharge or other outcome)	

If more space is required, please attach an additional sheet, labelled "Question 1".

2. Do you have any outstanding charges (including appearance notices and/or summons(es)) that are still before the courts in any jurisdiction?

Note: This includes ALL *Criminal Code of Canada* and other federal offences and ALL provincial offences.

For example:

- *Highway Traffic Act* offences: such as speeding, driving without a licence, driving without insurance
- Environmental offences: such as illegal dumping, permitting excess contamination into a natural environment
- Animal welfare offences: such as causing an animal to be in distress, training an animal to fight another animal

No Yes ► **If YES, please provide the following information:**

Name of Offence	Court appearance date and jurisdiction
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If more space is required, please attach an additional sheet, labelled "Question 2".

3. Have you ever filed for bankruptcy, been petitioned into bankruptcy, sought relief or made a consumer proposal under any bankruptcy or insolvency law in any jurisdiction?

No Yes ► **If YES, please provide the following information:**

Type		Reason
Year of occurrence	Name and address of trustee or receiver (if applicable)	
Name and address of court		
Court file number (if known)	Outcome or current status (provide copy of original filing package(s) and discharge if applicable)	

If more space is required, please attach an additional sheet, labelled "Question 3".

4. Have you* ever applied for or held a licence, permit, authorization, certificate or registration of any kind in any jurisdiction? This includes any licence, permit, authorization, certificate, or registration related to liquor, gaming and/or racing.

This question applies to you* as:

- an individual;
- a sole proprietor, partner, general or limited partner in a limited partnership, director, officer, shareholder, principal employee of an entity;
- an individual who has had a direct or indirect interest in an entity.

No Yes ► **If YES, please provide the following information:**

Name and address of entity (if applicable)		
Type of licence/permit/authorization/certificate/registration	Licence/permit/authorization/certificate/registration number	
Jurisdiction of licence/permit/authorization/certificate/registration	Year of occurrence	

If more space is required, please attach an additional sheet, labelled "Question 4".

5. Have you* ever had a licence, permit, authorization, certificate or registration of any kind refused in any jurisdiction? This includes any licence, permit, authorization, certificate, or registration related to liquor, gaming and/or racing.

This question applies to you* as:

- an individual;
- a sole proprietor, partner, general or limited partner in a limited partnership, director, officer, shareholder, principal employee of an entity;
- an individual who has had a direct or indirect interest in an entity.

No Yes ► **If YES, please provide the following information:**

Name and address of entity (if applicable)

Type of licence/permit/authorization/certificate/registration	Licence/permit/authorization/certificate/registration number
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Jurisdiction of licence/permit/authorization/certificate/registration	Year of occurrence
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Reason for refusal

If more space is required, please attach an additional sheet, labelled "Question 5".

6. Have you* ever had a licence, permit, authorization, certificate or registration of any kind suspended or, revoked, or had a fine, monetary penalty or similar disciplinary action imposed on you in any jurisdiction? This includes any licence, permit, authorization, certificate, or registration related to liquor, gaming and/or racing.

This question applies to you* as:

- an individual;
- a sole proprietor, partner, general or limited partner in a limited partnership, director, officer, shareholder, principal employee of an entity;
- an individual who has had a direct or indirect interest in an entity.

No Yes ► **If YES, please provide the following information:**

Name and address of entity (if applicable)

Type of licence/permit/authorization/certificate/registration	Licence/permit/authorization/certificate/registration number
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Jurisdiction of licence/permit/authorization/certificate/registration	Year of occurrence
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Outcome and reason for suspension, revocation, imposition of fine, monetary penalty, or disciplinary action

Length of suspension (if applicable)	Amount of fine or monetary penalty (if applicable)
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If more space is required, please attach an additional sheet, labelled "Question 6".

7. Has any entity which you owned, or were employed or associated with*, ever been found guilty of any offences in any jurisdiction? This includes any absolute and/or conditional discharges, suspended sentences, convictions, fines or guilty pleas.

Note: This includes ALL *Criminal Code* of Canada and other federal offences and ALL provincial offences.
For example:

- Highway Environmental offences: such as illegal dumping, permitting excess contamination into a natural environment
- Animal welfare offences: such as causing an animal to be in distress, training an animal to fight another animal.

*You are or were associated with an entity if you are or were a sole proprietor, partner, general or limited partner in a limited partnership, director, officer, shareholder, principal employee, or otherwise have had a direct or indirect interest in the entity.

No Yes ► **If YES, please provide the following information:**

Name and address of entity

Name of Offence

Jurisdiction of Offence

Year of occurrence

Outcome (such as sentence, penalty, conviction, discharge or other outcome)

If more space is required, please attach an additional sheet, labelled "Question 7".

8. Does any entity which you owned, or were employed or associated with*, have any outstanding charges (including appearance notices and/or summons(es)) that are still before the courts in any jurisdiction?

Note: This includes ALL *Criminal Code* of Canada and other federal offences and ALL provincial offences.
For example:

- Highway Environmental offences: such as illegal dumping, permitting excess contamination into a natural environment
- Animal welfare offences: such as causing an animal to be in distress, training an animal to fight another animal.

*You are or were associated with an entity if you are or were a sole proprietor, partner, general or limited partner in a limited partnership, director, officer, shareholder, principal employee, or otherwise have had a direct or indirect interest in the entity.

No Yes ► **If YES, please provide the following information:**

Name and address of entity

Name of Offence

Court appearance date and Jurisdiction

If more space is required, please attach an additional sheet, labelled "Question 8".

FOR GROCERY STORE OPERATORS ONLY

9. Do you have any connection, financial or otherwise, to a manufacturer, importer or agent of beverage alcohol?

No Yes

Notification: Freedom of Information and Protection Of Privacy

The information on this form is collected pursuant to the *Liquor Licence Act*, R.S.O. 1990, Chapter L19 and/or the *Liquor Control Act*, R.S.O 1990, C.L.18. I understand that the Alcohol and Gaming Commission of Ontario (“AGCO”) intends that the principal purpose for which this information will be used is to consider my application for a liquor licence under the *Liquor Licence Act* and/or authorization under the *Liquor Control Act*.

Consent

I CONSENT to the AGCO collecting such additional information about me as may be necessary for the AGCO to verify the information contained on my application. The sources the AGCO may use are the financial institutions, credit bureaus and credit reporting agencies, regional and national banking and loans institutions. AND I ALSO CONSENT to the disclosure of any information sought by the AGCO including my police or criminal record, if any, and I hereby authorize the Chief of Police or other Officer in Charge to forward all relevant information to the AGCO. I hereby authorize the Ontario Provincial Police (the OPP) to release records of criminal convictions for which a pardon has not been granted, records of discharges which have not been removed from the CPIC system in accordance with the *Criminal Records Act*, and records of outstanding criminal charges of which the OPP is aware, to the AGCO. I hereby release and forever discharge Her Majesty the Queen in right of Ontario, the Commissioner of the OPP and all members and employees of the OPP from any and all actions, claims and demands for damages, loss or injury howsoever arising which may hereafter be sustained by me as a result of the disclosure of information by the OPP to the above named organization.

Legal Authority for the Collection

Liquor Licence Act, R.S.O. 1990, Chapter L19 and/or *Liquor Control Act*, R.S.O. 1990, c. L.18.

Principal Purpose for which the Personal Information is Intended to be Used

I UNDERSTAND the Alcohol and Gaming Commission of Ontario will be using the information on the form:

1. to determine whether I am qualified to hold or manage, as the case may be, the licence and/or authorization being applied for on the attached application form; and
2. to use and disclose such information to parties, for purposes which are consistent with the purpose set out in the previous clause.

By signing below, I solemnly declare that all information provided in this application is true and correct.

Signature	Date
	yyyy mm dd
Print name	